

02-28-02

PTO/SB/05 (4/98)  
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02/25/02

U.S. PTO

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new non-provisional applications under 37 C.F.R. § 1.53(B))

Attorney Docket No. S13.12-0128

First Inventor or Application Identifier Jan Weber

Title NON-INVASIVE HEATING OF IMPLANTED VASCULAR TREATMENT DEVICE

Express Mail Label No. EV049900398US

02/25/02

U.S. PTO

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

Address To: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ \*Fee Transmittal Form e.g., PTO/SB17)  
(Submit an original and a duplicate for fee processing)

2. ☒ Specification [Total Sheets 26]  
(preferred arrangement set forth below)  
- Descriptive title of the Invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to Microfiche Appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure

☒ Drawing(s) (35 U.S.C. § 113) [Total Sheets 5]  
Oath or Declaration [Total Sheets 2]  
a. ☒ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 17 completed)  
i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)

6. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Copy  
b. ☐ Paper Copy (Identical to computer copy)  
c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))

8. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney

9. ☐ English Translation Document

10. ☒ Information Disclosure Statement (IDS/PTO – PTO) ☐ Copies of IDS

11. ☐ Preliminary Amendment

12. ☒ Return Receipt Postcard (MPEP 503)

13. ☐ \*Small Entity ☐ Statement filed in prior application. Status still proper and desired (PTO/SB/09-12)

14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

15. ☐ Request and Cert. Under 35 USC 122 (Non-Pub)

16. ☐ Other: \_\_\_\_\_

\* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:  
☐ Continuation ☐ Divisional ☐ Continuation –in part (CIP) of prior application No: \_\_\_\_\_  
Prior application information: Examiner \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_  
FOR CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

Name Joseph R. Kelly  
WESTMAN CHAMPLIN & KELLY

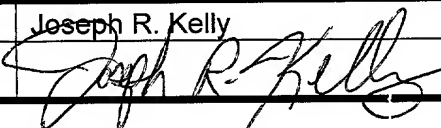
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Signature 

Date 2/25/02

# FEE TRANSMITTAL

Complete if Known

Application No.

Filing Date

First Named Inventor

Jan Weber

Title

NON-INVASIVE HEATING OF IMPLANTED VASCULAR TREATMENT DEVICE

Group Art Unit

Examiner Name

Total Amount of Payment \$ 1158

Atty. Docket Number

S13.12-0128

## METHOD OF PAYMENT (Check One)

1. ☒ The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123 Westman, Champlin & Kelly, P.A.

2. ☒ Check Enclosed

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
101	740	201	370	<input checked="" type="checkbox"/> Utility Filing Fee	
106	330	206	165	<input type="checkbox"/> Design Filing Fee	
108	740	208	370	<input type="checkbox"/> Reissue Filing Fee	
114	160	214	80	<input type="checkbox"/> Prov. Filing Fee	
Subtotal (1) \$ 740					

### 2. EXTRA CLAIM FEES

	Number Claims	Prior**	Extra	Fee from Below	Fee Paid
Total	41	20	21	18	378
Indep.	3	3	0	84	0

Multiple Dependent Claims

\*\* Insert 3 and 20, or number previously paid if greater, Reissue see below

Large Entity		Small Entity		Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple Dependent Claims	
109	84	209	42	Reissue Independent Claims over Original Patent	
110	18	210	9	Reissue claims in excess of 20 and over original patent	

Subtotal (2) \$ 378

## FEE CALCULATION (Continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
105	130	205	65	Surcharge - Late filing fee or oath	
127	50	227	25	Surcharge - Late provisional Filing Fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For Filing a Request for Reexamination. (ex parte)	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	280	980	Extension for reply within fifth month	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
148	110	248	55	Terminal Disclaimer Fee	
140	110	240	55	Petition to Revive - unavoidable	
141	1,310	241	670	Petition to Revive - unintentional	
142	1,310	242	670	Utility/Reissue issue fee (inc. advance copies)	
143	490	243	260	Design issue fee (inc. advance copies)	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40

Other Fee (specify) \_\_\_\_\_

Subtotal (3) \$40

Signature Joseph R. Kelly  
(Joseph R. Kelly)

Reg. No. 34,847

Date 2-25-02

Deposit Account No. 23-1123